Open Access in China: Potential & Strategies

9th Fiesole Collection Development Retreat
Hong Kong April 2007

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OPEN is
ENLIGHTENED

HKUST as Early Leader & Promoter of OA in Hong Kong SAR

香港科技大學圖書館
HKUST LIBRARY | HKUST DIGITAL LIBRARIES

| Home | Quick Links | Resources | Services | Information |

HKUST Digital Libraries and Initiatives

Antique Maps of China

First Institutional Repository in Hong Kong

- Digital Archives & Collections
- Digitalized Theses & Dissertations
- News Clippings
- XML Name Access Control Repository
- Links/Metadata to OA Journals, Databases & Resources

Library Exhibitions

XML Name Access Control Repository

HKUST as early leader in HK with first IR and digital library collections

HKUST as Early Leader & Promoter of OA in Hong Kong SAR

Full international member of SPARC

Open DOAR & Scirus partner for repository indexing

Scholarly Communications Knowledge Bank

Institutional Repositories: Preparing for the Future
Host of 1st International Conference on Developing IR 2004

HKUST collection of OA resources—IR conference to examine future 2004 at UST

Hong Kong Universities

University Grants Committee (UGC)

Heads of Universities Committee (HUCOM) and

Joint University Libraries Advisory Committee (JULAC)

SPARC –International Scholarly Communications Alliance (ISCA) global network organizations since 2002

NEW for 2007! Promotion of policy for publicly funded research to be submitted to open access repositories to facilitate scholarly communication and broader access. Administrative workshop planned-sponsored by HUCOM and Soros Foundation.

Strong univ consortia OA support in HK

Original members of SPARC Global Network Orgs

New efforts in 2007 for OAR policy in Hong Kong

Linking Hong Kong Digital Libraries

Digital Projects

Cross Searching IRs in Hong Kong

The 8 univ. have now created systems for linking our digital projects and IRs

Hong Kong SAR Government
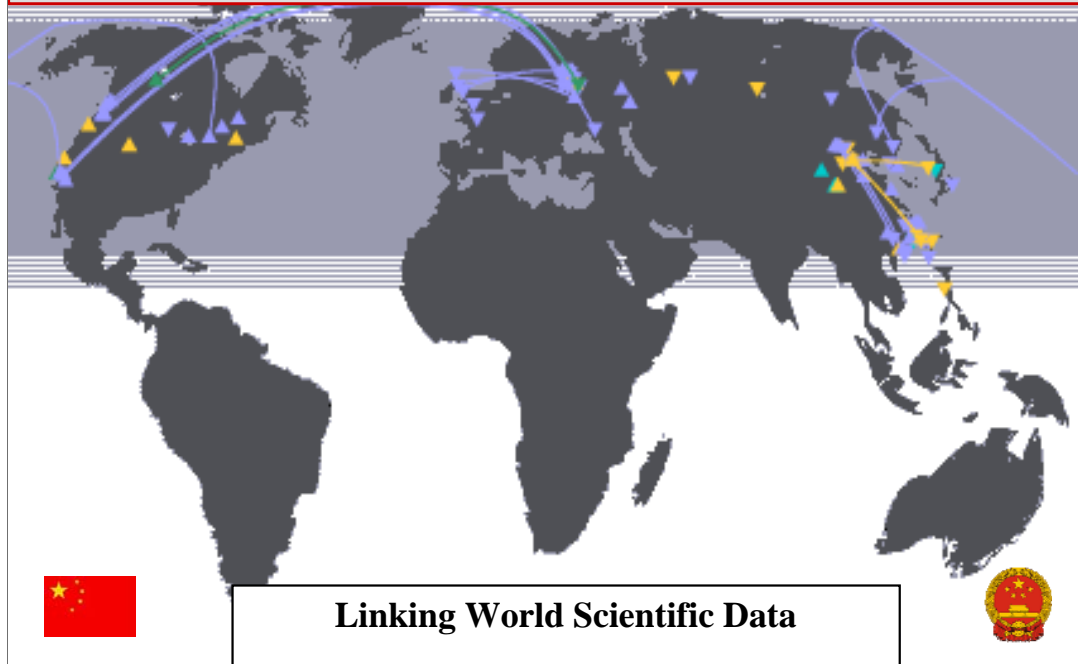
Hong Kong Professional Journals Available OA

Latest News
Hong Kong Cultural Heritage Digital Repository

In Hong Kong one can see the efforts of OA in the open availability of professional journals and

In a recent news item the govt has received support for creation of a cultural heritage repository.

OPEN ACCESS CHINA

Linking World Scientific Data

Moving north to mainland China-While I realize the concerns about Internet censorship in Mainland, there are huge efforts in the govt to open and share scientific data with the world. I would like to provide an overview of some of these specific OA initiatives.

Some Key Implementers & Supporters

Dr. Xiaolin Zhang Chinese Academy of Sciences Library Director (CAS) Meta-data for scientific objects and interoperability

Kai Nan Computer Network Information Center (CNIC), CAS E-Science project to launch by mid-2007

Dr. Qiheng Hu, Chinese Academy of Engineering, Assn for Science & Technology, and CAS "Open access is a necessity to promote capacity building in science and technology"

Jinpei Chang, Ministry of Science & Technology (MoST) "Strengthening China's cooperation with developing nations for S&T"

These are some the implementers and influential supporters of OA in China and I will be referring to them and their organizations as we examine what has been developing in mainland China

MILESTONES in China OA

2007	CAS plans for launch of e-Science Project with several test programs including geosciences and meteorology
2006	20th International CODATA Conference, October *Global Information Commons for Science Initiative* Plans announced for e-Science Project of 100+ Institutes for data sharing
2005	International Conference on Strategies & Policies on Open Access to Scientific Information, June 2005 National Library of China opens Digital Portal
2004	Workshop on Strategies for Preservation & OA for Scientific Data
2003	CAS President and NSFC Director sign Berlin Declaration
2002	Chinese delegation visits USNC
2000	US National Committees for CODATA & Chinese bilateral policy meetings

China Internet Users
2000 - 2007

200M
150M
100M
50M
25M

12.3%
7.9%
4.6%
1.7%
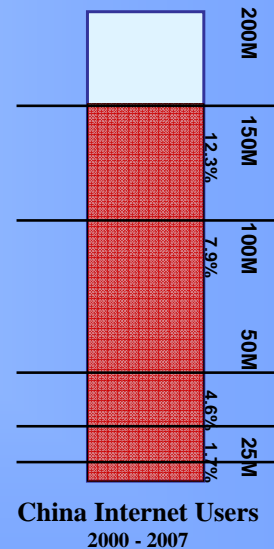
One can readily see the progress of China OA over the past 7 years as they moved from bilateral exchange into sponsoring workshops on strategy and policy and now creating new OA portals for data-sharing.

I thought it was also interesting to note the population of Internet users during the same period of time increased from 22.5m in 2000 and the estimates for 2007 are 163m.

Challenges for OA in China
--Zhang, LCAS 2005 on Policy Considerations

❖ **Lack of Incentives**
  ➤ Scientists and Institutions base evaluation on high impact factor citations in established journals
  ➤ Need for new publishing models

❖ **Lack of Responsibility**
  ➤ Funding
  ➤ Administrative support

❖ **Lack of Infrastructure**
  ➤ Need for national STM information platform
  ➤ More….

Dr. Zhang (Tjan(g)),

director of the Chinese Academy of Sciences Library and involved in worldwide OA initiatives, reviewed the challenges and solutions in Mainland China at the most recent CODATA conference in Beijing. These challenges are the same as those in other parts of the world: professional evaluation is based on high-impact journal publishing, as well as lack of funding and infrastructure.

Economist Intelligence Unit e-readiness rankings, 2006				
2006 e-readiness rank	2005 rank	Country	2006 e-readiness score (of 10)*	2005 score
1	1	Denmark	9.00	8.74
2	2	US	8.88	8.73
3	4	Switzerland	8.81	8.62
4	3	Sweden	8.74	8.64
5	5	UK	8.64	8.54
8	10	Australia	8.50	8.22
9	12	Canada	8.37	8.03
10	6	Hong Kong	8.36	8.32
12	12	Germany	8.34	8.03
13	11	Singapore	8.24	8.18
14	16	N. Zealand	8.19	7.82
18	18	South Korea	7.90	7.66
21	21	Japan	7.77	7.42
23	22	Taiwan	7.51	7.13
35	32	S. Africa	5.74	5.53
37	35	Malaysia	5.60	5.43
41	38	Brazil	5.29	5.07
46	46	S. Arabia	4.67	4.38
47	44	Thailand	4.63	4.56
52	52	Russia	4.30	3.98
53	49	India	4.25	4.17
56	51	Philippines	4.04	4.03
57	54	China	4.02	3.85
61	57	Ukraine	3.62	3.51
62	60	Indonesia	3.39	3.07
65	59	Iran	3.15	3.08
66	61	Vietnam	3.12	3.06
67	64	Pakistan	3.03	2.93

As a corollary, it is interesting to see on this report from the Economist that China still ranks very low in world rankings for "e-readiness" for development and commerce.

Recommendations for OA in China
--Zhang, LCAS 2005 on Policy Considerations

❖ **Incentives**
  - ➢ IP rights options for authors
  - ➢ New scientific evaluation systems
  - ➢ Author incentives

❖ **Responsibility**
  - ➢ Funding support by collective payment systems
  - ➢ Policy for OA preservation-require IR publishing
  - ➢ Require publicly supported clearinghouse

❖ **Infrastructure**
  - ➢ Policy support for data-sharing structure
  - ➢ National STM platform
  - ➢ Facilitate promotion and training

Dr. Zhang further added his summary of solutions for advancing OA in China; including revising incentive systems, collective payment systems, central clearinghouse for IR, and a national STM platform to support the technical infrastructure.

OA in China - Current Efforts
National Scientific Data Master Network

- ❖ **National Library of China Digital Portal**
- ❖ **Web3 Development**
- ❖ **Qi.ji.com -** Chinese language and multi-disciplinary OAIP
- ❖ **China Data Sharing Policy - MoST**
- ❖ **Knowledge Grid – CNIC**
- ❖ **CSTNet – CNST**
- ❖ **SINDAP China –** Global ePrints in SciTech Archive
- ❖ **E-Science Project – 100 Institutes of CAS**
- ❖ **China International Science & Technology Cooperation**

This is a listing of some of the major OA programs and I would just like to scroll through a few screen shots of a few of these OA sites for you.

National *Digital* Library of China
http://www.nlc.gov.cn

Since opening their digital portal, the National Library has also named themselves the National *Digital* Library of China!

Chinese International Science & Technology Cooperation
http://www.cistc.gov.cn/cistc

CISTC is a good source for data-sharing project updates, worldwide science research, and China laws and regulations

China National Science & Technology Library

http://www.nstl.gov.cn/

NSTL is a government funded virtual library created through the collaboration of major national level libraries and information research institutions.

CSTNet
www.cstnet.net.cn

CSTnet providesTechnology network news and cooperative projects joining multiple institutions.
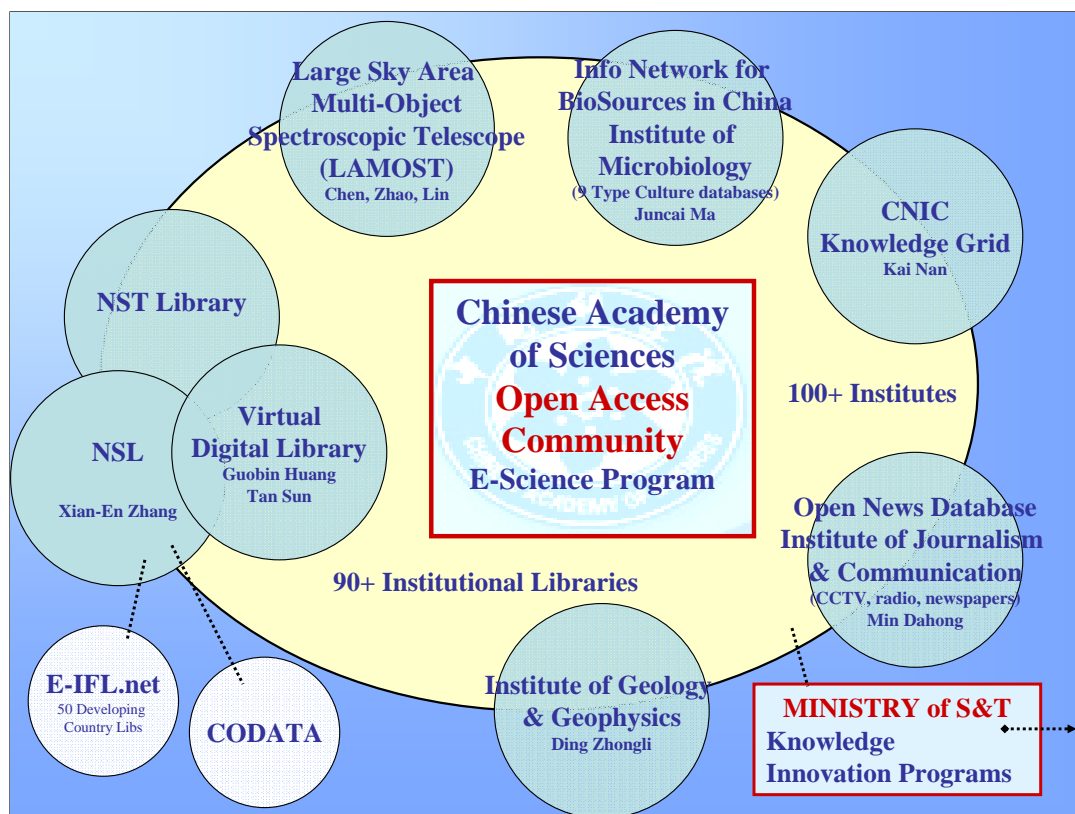
This drawing Illustrates the Community of OA from the Chinese Academy of Sciences-Over 100 institutes and over 90 libraries; Dr. Zhang's efforts also extend to the e-IFL net of 50 developing countries digital collections and the CODATA efforts for worldwide sharing of scientific knowledge. I have included the contact names for some of the major scientific database systems if you have any interest. The intersection of the CAS and the Ministry of Science & Technology…
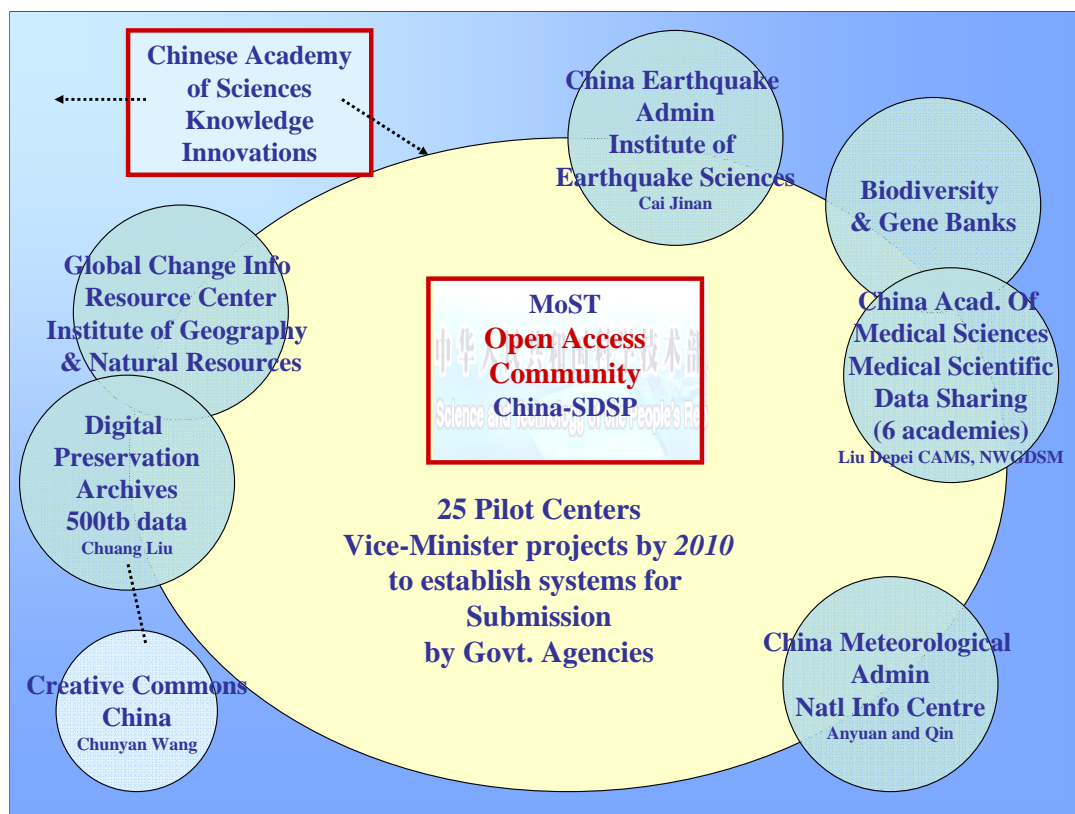
Leads to the SDSP efforts at MoST and the community of open, shared database systems..

In conclusion, we have Vice-Minister Chang's predictions for established systems by 2010.

Thank you very much!

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