

# Will There Be Any Transformation or Are We Stuck with the Transformative Agreements?

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# Influences Open Science



Image Credit: [Pete Forsyth CC BY 3.0](#)

# In the middle of the transformation...

UNESCO

EU

Gouvernement



By 2025, Europe's universities will be part of a scholarly ecosystem characterised by:



# LERU

The LERU Roadmap towards Open Access represents a conscious decision by LERU to investigate new models for scholarly communication and the dissemination of research outputs emanating from LERU universities. Although the idea of Open Access has been around for more than a decade now, the pathway to Open Access is not necessarily straight forward. There are benefits and costs. Many stakeholders will be involved.

# OA2020

## MISSION

We collaborate to transform the current publishing system, replacing the subscription business model with new models that ensure outputs are open and re-usable and that the costs behind their dissemination are transparent and economically sustainable.



# The Governments' Research & Innovation Bill 2021-2024

- The government sees a need to **update the national direction for open science** to align with Horizon Europe. Publications, which are the result of publically funded research, shall be **immediately open access with effect from 2021**.
- It is important to **follow up and measure the national work for an open science system** in a comprehensive manner. It is also of great importance that the **policies of research funders and HEIs for open access to publications and research data are harmonized as far as possible**.
- HEIs and research funders also has a responsibility to **design clear incentives that promote open science**.

(p. 101-102)

*Research, freedom,  
future – knowledge  
and innovation for  
Sweden*  
Prop. 2020/21:60



# Government Directive 2021

- The National Library of Sweden shall **promote and coordinate** the work of introducing open access to scholarly publications.
- The directive includes submitting a **comprehensive survey, analysis and assessment of the national work** with open access to scholarly publications.
- In carrying out the directive, the National Library shall consult with the Swedish Research Council, HEIs /.../

(From the appropriation directive April 2021)



<https://urn.kb.se/resolve?urn=urn:nbn:se:kb:publ-72>



# Government Directive 2021 to HEIs

Develop the work with open science

- **Universities and colleges** should continue to **develop the work with open science** in order for the activities to contribute to **achieving the national direction for an open science system** (Bill 2020/21: 60).
- The work includes contributing material to the Swedish Research Council and the National Library of Sweden's respective directive on coordination of work for open access.

(From the appropriation directive April 2021)



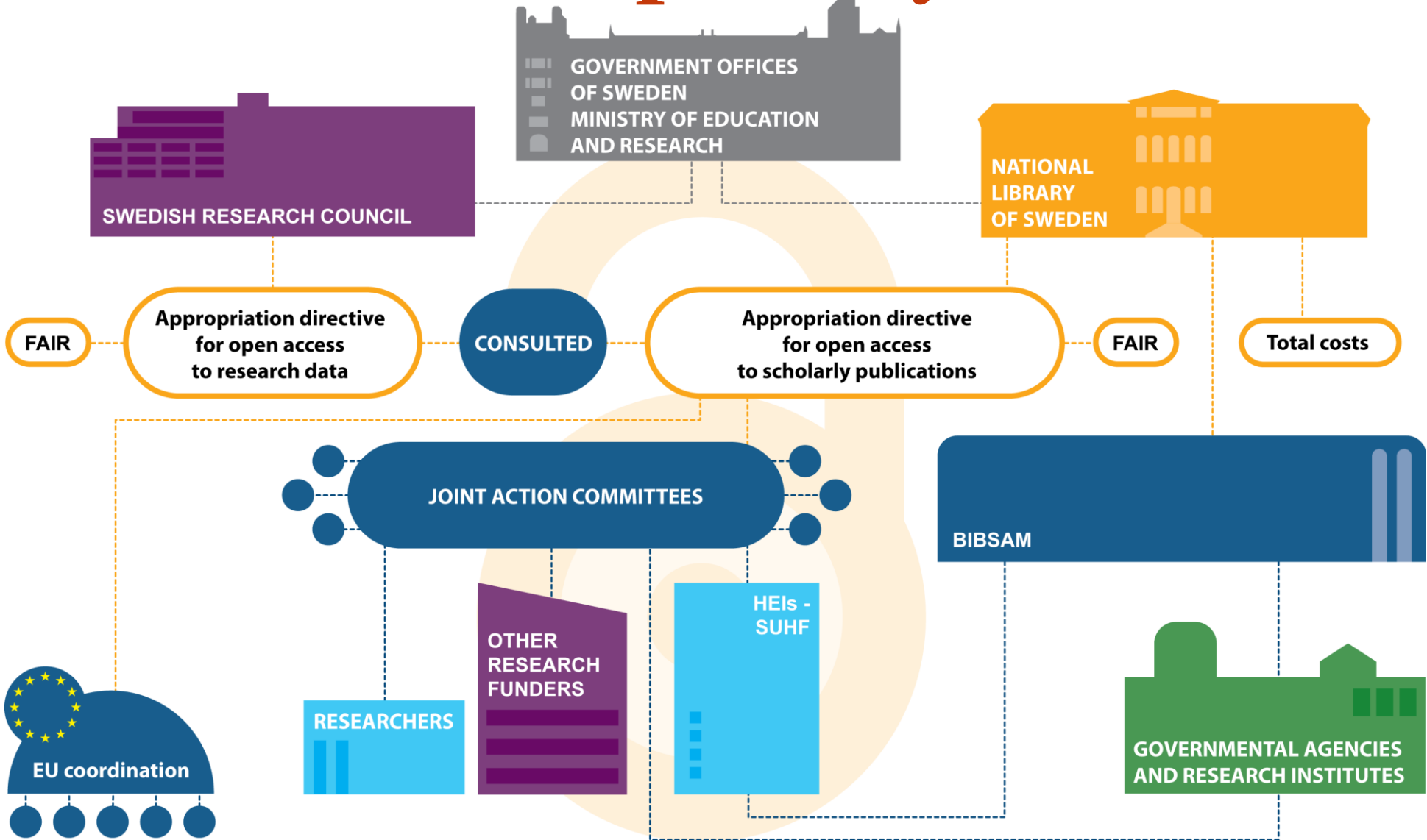
# SUHF and Bibsam Consortium

- Swedish Rectors Conference (SUHF)
- Library director forum, Open Science group
- Strategic discussions about scholarly communications
- Important to have university management engaged in negotiations with publishers
- 44 agreements including 21 transformative agreements and 3 with OA publishers/portfolios
- Turnover approx. € 48,7 million
- Governed by a steering committee, 7 representatives, chaired by a University President



<https://www.kb.se/bibsamconsortium>

# Swedish OA Landscape - Key Stakeholders



2014

SCOAP<sup>3</sup>

2015

RSC Gold4Gold

SCOAP<sup>3</sup>

2016

RSC Gold4Gold

SCOAP<sup>3</sup>

Springer Compact (juli 2016)

2017

IOP offset

RSC Gold4Gold

SCOAP<sup>3</sup>

Springer Compact

2018

De Gruyter offset

Frontiers (juli 2018)

IOP offset

RSC Read & Publish

SCOAP<sup>3</sup>

Springer Compact

Taylor & Francis

2019

AIP

CUP

De Gruyter offset

Frontiers

IOP offset

Mark Allen Healthcare

OUP

RSC Read & Publish

SCOAP<sup>3</sup>

Springer Compact

Springer Nature Fully OA (juli 2019)

Taylor & Francis

2020

ACS

AIP

APS

CUP

De Gruyter offset

Elsevier

Frontiers

IOP offset

Karger

Mark Allen Healthcare

OUP

RSC Read & Publish

SAGE + Royal Society of Medicine

SCOAP<sup>3</sup>

SPIE

Springer Compact

Springer Nature Fully OA

Taylor & Francis

Wiley

2021

ACS

AIP

APS

BMJ

Brill

CUP

De Gruyter Read & Publish

Elsevier

Emerald

Frontiers

IOP Read & Publish

IOS Press

Karger

Mark Allen Healthcare

OUP

Royal Society

RSC Read & Publish

SAGE + Royal Society of Medicine

SCOAP<sup>3</sup>

SPIE

Springer Compact

Springer Nature Fully OA

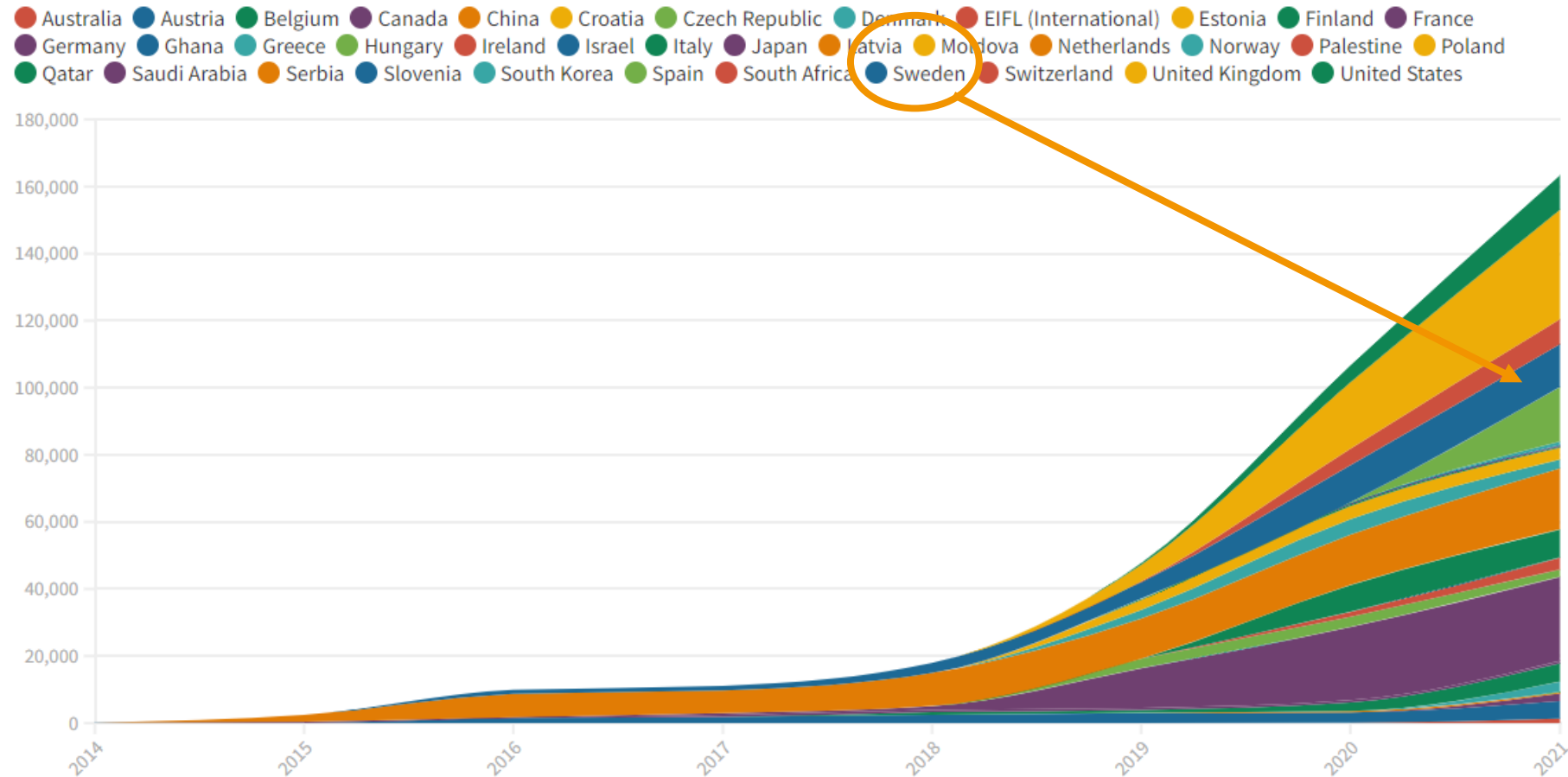
Taylor & Francis

Wiley

## New agreements 2022

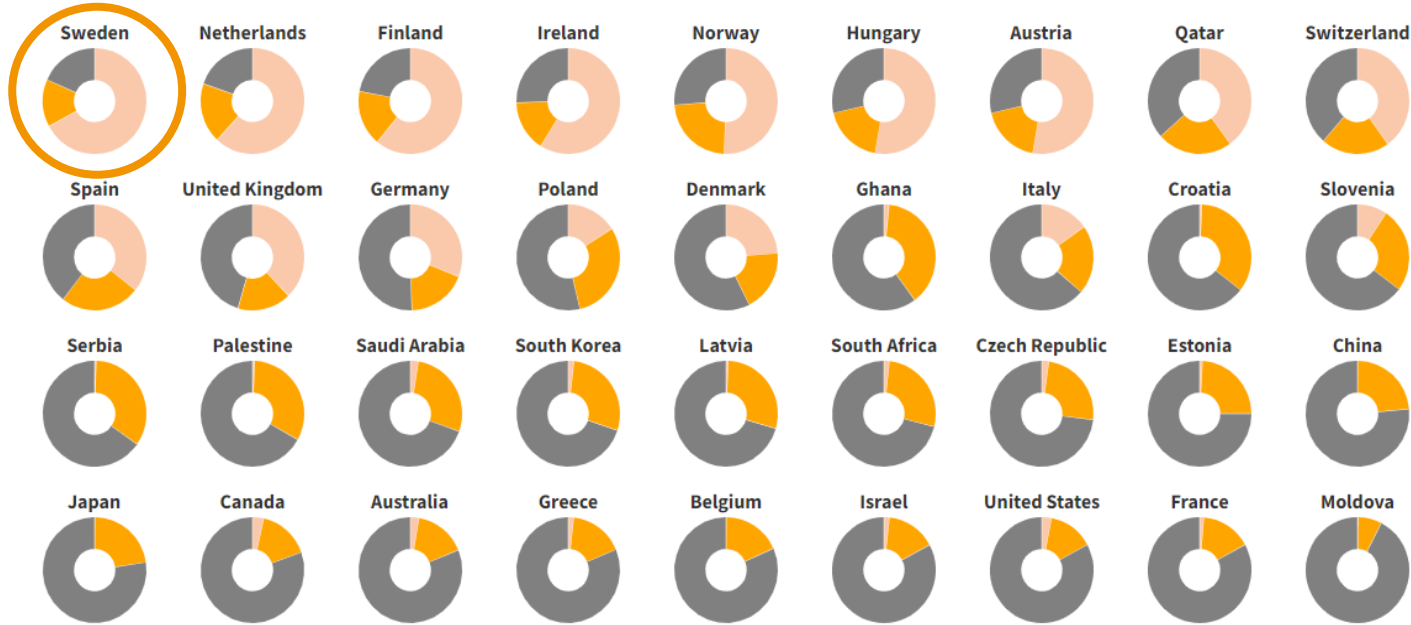
- Springer Nature, Nature-titlarna
- ACM
- PLOS
- MDPI

# Growth of Open Access Articles



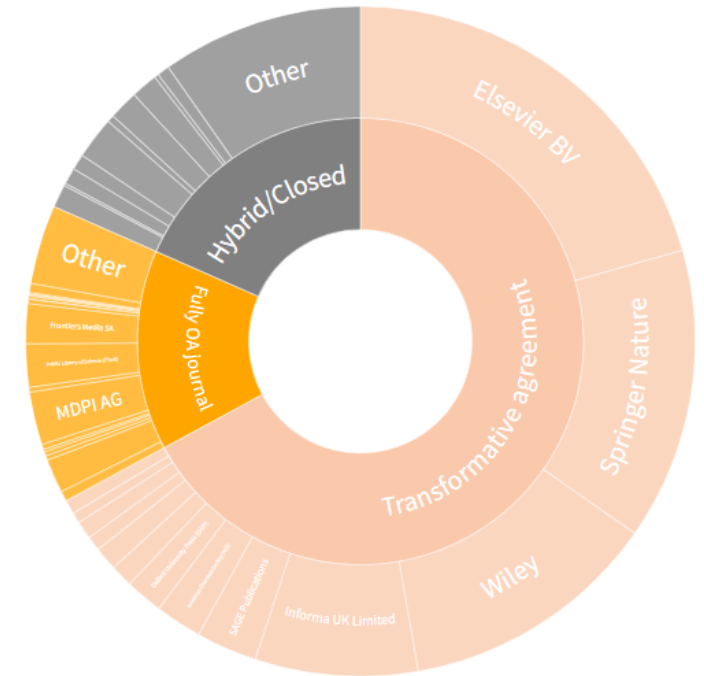
# Sweden – international comparison

■ Transformative agreement 
 ■ Fully OA journal 
 ■ Hybrid/Closed



Source: <https://github.com/subugoe/oa2020cadata/>, ESAC Transformative Agreement Registry  
Last updated: 11-01-2022

## Sweden



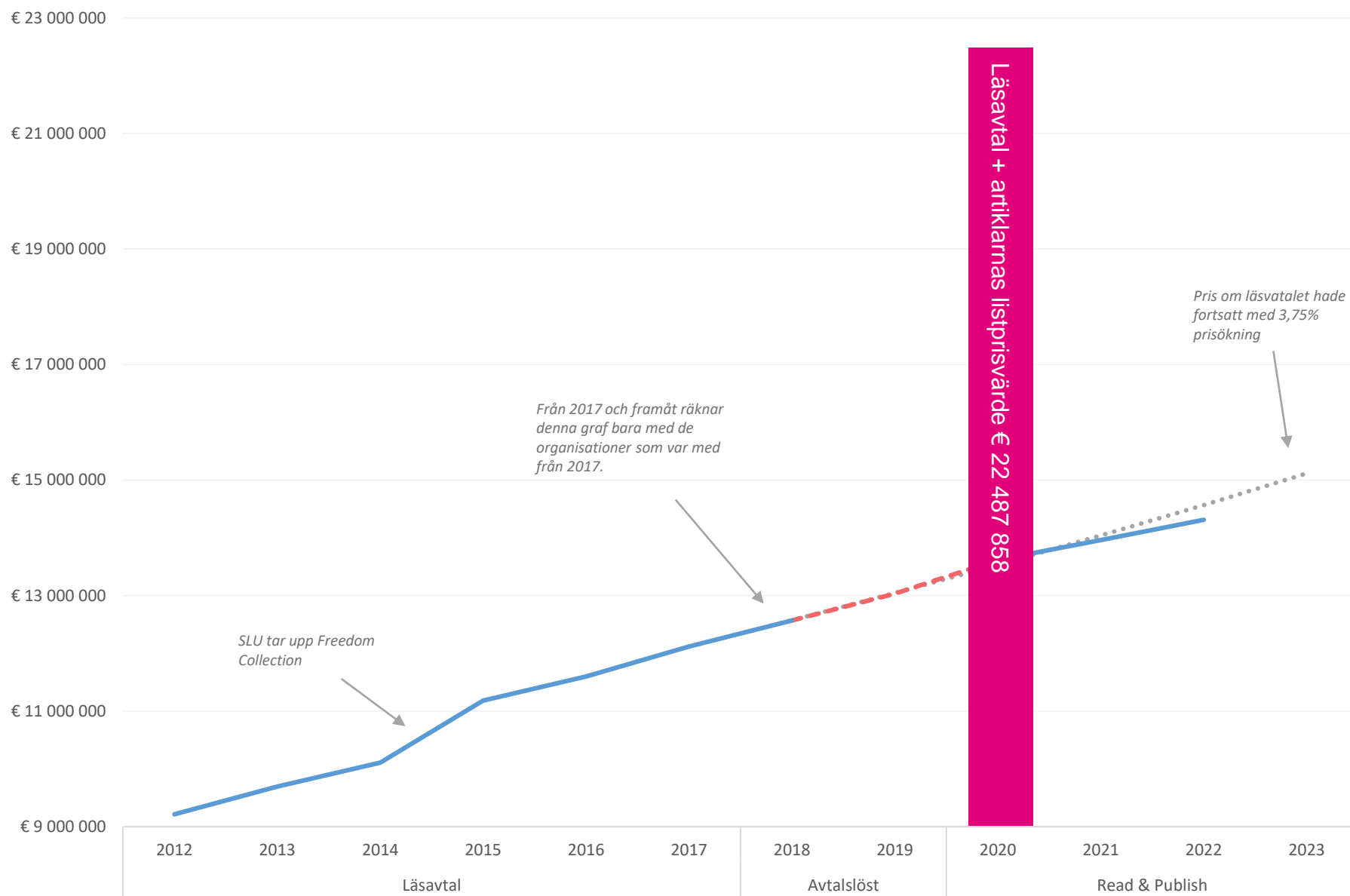
• A Flourish chart



<https://esac-initiative.org/market-watch/>



## Elsevier tidskrifter prisutveckling



# Beyond the Transformative Agreements

- Aim

To work out a strategy for the consortia when negotiating new agreements after 2024. The strategy will be mandated from the management of the universities.

The group will also investigate alternative publishing routes and give suggestions on such.

- Time frame

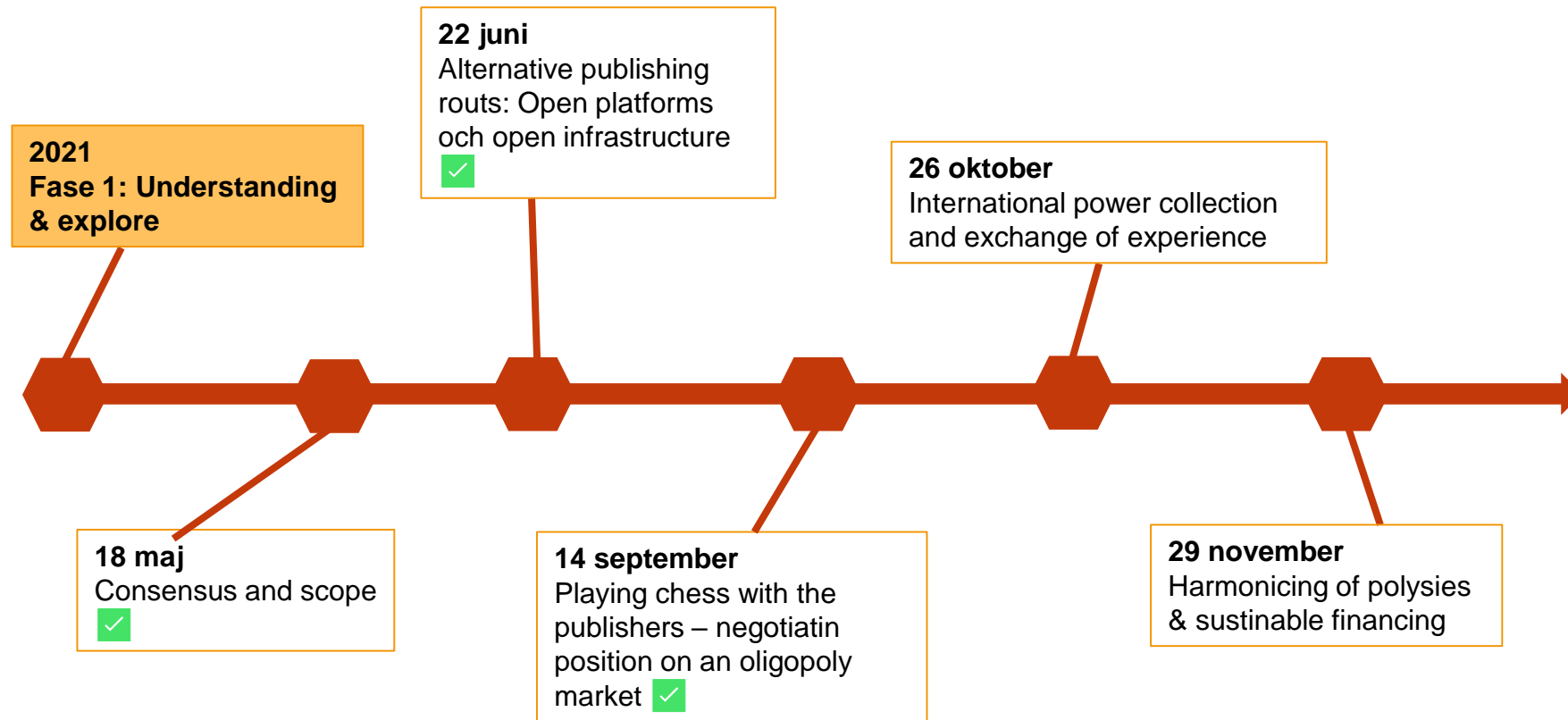
From March 2021 till December 2023.

- Membership

University management, researchers, funders and negotiators



# The Work of the Group 2021



# WS 1: Biggest Challenges

- Cultural change
- Costs: distribution / prevent price increases
- Who shall own the publishing infrastructure?
- The merit and award system



# WS 1: Short and long time solutions

- Redirect the payments (short time)
- Change the award and merit system(long time)
- Change the publishing infrastructure (long time)



# Actors and Responsibility

- Universities: change the award and merit system, build infrastructure for scholarly communication, debate, change the funding streams
- Funders: change the award and merit system, follow up their policies, change the funding streams
- Researchers: take informed decisions
- National library: compile, analyse, follow up



# Will there be any transformation?

- Very few publishers want to change their business models
- Many publishers start new journals behind the pay wall
- Business models built on print spend and APC-spend
- APC prices increase
- No transparency about what we are paying for
- Is the APC-model sustainable for the future?
- Different policies

## How can we get a transformation

- International discussions and cooperation
- Investigate and build alternative publishing routs
- RRS strategies
- Put presure on the publishers to be transparent about their cost models
- Be ready to walk away from the negotiation table
- If we don ´t act there will be no transformation





# Cancellations



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Follow on Twitter [@wilhwid](#)

In Sweden there is a government directive to reach 100% immediate Open Access during 2021. We won't reach it this year - but we have come far as we today can count

## UKSG eNews 503

### Awards

Inaugural Einstein Foundation Award goes to the Center for Open Science

### Editorial

